

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 040-303

First Inventor Shih-Ming Hwang

Title VOICE RECORDER WITH SOUND REMINDER

Express Mail Label No. EU573196443US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 17]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Sheets 2]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
name in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ Paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS Citations
13. ☐ Statement (IDS)/PTO-1449
14. ☒ Preliminary Amendment
15. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
16. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
17. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: SMALL ENTITY STATUS, CLAIM,

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: 35,870 OR ☐ Correspondence address below

Name Apex Juris, pllc

Address 13194 Edgewater Lane Northeast

City Seattle State Washington Zip Code 98125

Country United States of America Telephone 206-664-0314 Fax 206-664-0329

Name (Print/Type) Tracy M Heims Registration No. (Attorney/Agent) 53,010

Signature [Signature] Date 02/05/2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form, and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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16569

020504

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004 <i>Effective 10/01/2003. Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	02/05/2004
		First Named Inventor	Shih-Ming Hwang
		Examiner Name	
		Art Unit	
TOTAL AMOUNT OF PAYMENT		(\$)	385.00
		Attorney Docket No.	040-303

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES																																
<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 50,2069 Deposit Account Name: Apex Juris, pllc		Large Entity Small Entity																																
<input type="checkbox"/> The Director is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s) <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.																																		
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1. BASIC FILING FEE																																		
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE																																		
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**or number previously paid, if greater; For Reissues, see above																																		
		Other fee (specify)																																
		*Reduced by Basic Filing Fee Paid																																
		SUBTOTAL (3) (\$)				0.00																												

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	Tracy M Hejms	Registration No. (Attorney/Agent)	53, 010
Signature		Telephone	206-664-0314
		Date	02/05/2004

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NEW PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Hwang et al.

Application No.: New U.S. Patent Application

Filed: February 5th, 2004

Attorney Dkt. No.: 040-303

For: VOICE RECORDER WITH SOUND REMINDER

NEW PATENT APPLICATION

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

February 5th, 2004

Commissioner:

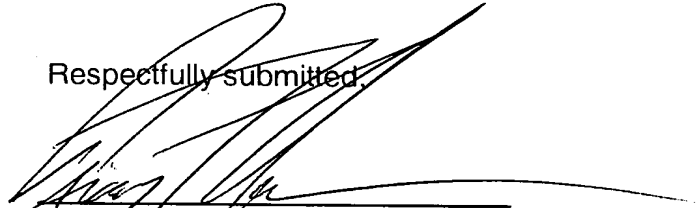
Applicant respectfully requests that the filing date of February 5th, 2004 be granted to this filing and herein provides the appropriate following documentation:

1. Business check in the amount of \$385.00
2. Self Address, stamped return post card setting forth submission
3. Certificates of mailings for all documents (page)
4. PTO/SB/05, Utility Patent Application Transmittal form (1 page)
5. PTO/SB/17, Fee transmittal form in duplicate (2 pages)
6. Small Entity Declaration (3 pages)
7. Application Data Sheet (4 pages)
8. Specification and claims (17 pages)
9. Formal drawings (5 pages)

10. Declaration and Power of Attorney for Patent Application (2 pages)

Please charge any fee deficiency or credit any overpayment with respect to this paper and or this application to Apex Juris Deposit Account No. 502069.

Respectfully submitted,



Tracy M Heims
Registration No. 53,010

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8
FOR: NEW PATENT APPLICATION.
Attorney Docket Number 040-303


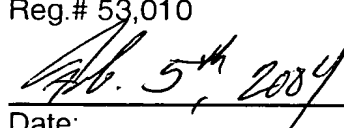
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On February 5th, 2004


Tracy M Heims
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Date: _____

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